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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,819	06/07/2001	P. Scott White	S-94,664

CONFIRMATION NO. 1187

FORMALITIES LETTER



OC00000006403819

Samuel M. Freund
Los Alamos National Laboratory
LC/BPL, MS D412
Los Alamos, NM 87545

Date Mailed: 08/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/18/2001 BNGUYEN1 00000079 122150 09877819 FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer

readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



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Sector \$

Rev. 12/19/00

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : P. Scott White et al. : Docket No.: S-94,664
Serial No. : 09/877,819 : Examiner:
Filed : June 07, 2001 : Art Unit: 1645
For : ADDRESS/CAPTURE TAGS
FOR FLOW-CYTOOMETRY
BASED MINISEQUENCING

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

COMPLETION OF FILING REQUIREMENTS

- I. ☒ The following is a reply to the Notice to File Missing Parts of Application (PTO-1533) dated 08/09/01.
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. ☒ No original declaration or oath was filed and enclosed is the original declaration or oath for this application.
- ☐ The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

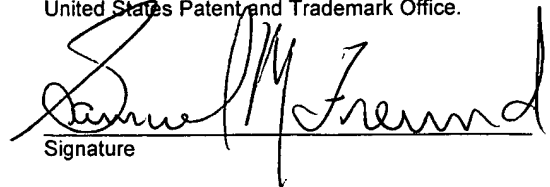
I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, DC 20231.

FACSIMILE

☐ transmitted by facsimile to the United States Patent and Trademark Office.


Signature

Date October 11, 2001

Samuel M. Freund
(type or print name of person certifying)

10/18/2001 BNGUYEN1 00000079 122150 09877819

02 FC:215

55.00 CH



Attached is a

- ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims _____.

SMALL ENTITY STATUS

- IV. ☒ Applicant is entitled to small entity status.
- ☐ Applicant is other than a small entity.

COMPLETION FEES

- V. 1. Filing fee
- ☐ original patent application 37 CFR 1.16(a))-
\$710.00; Small entity-\$355.00 \$ _____
2. Fees for claims
- ☐ each independent claim in excess of 3
(37 CFR 1.16(b))- \$80.00; small entity-\$40.00 \$ _____
- ☐ each claim in excess of 20 (37 CFR 1.16(c))-
\$18.00; small entity-\$9.00 \$ _____
- ☐ multiple dependent claim(s) (37 CFR 1.16(d))-
\$270.00; small entity-\$135.00 \$ _____
3. Surcharge fees
- ☐ late payment of filing fee
- and/or
- ☒ late filing of original declaration or oath
(37 CFR 1.16(e))- \$130.00; small
entity-\$65.00 \$65.00

4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor
(37 CFR 1.47)-\$130.00 \$ _____

TOTAL COMPLETION FEES**\$65.00****EXTENSION OF TIME FEES**

(complete (a) or (b) as applicable)

VI. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input checked="" type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$195.00
<input type="checkbox"/> three months	\$ 890.00	\$445.00
<input type="checkbox"/> four months	\$1,390.00	\$695.00

EXTENSION OF TIME FEE**\$55.00**

- ☐ An extension for _____ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

EXTENSION FEE DUE WITH THIS REQUEST**\$55.00**

or

- (b) ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VII. The total fee due is

Completion fee(s) \$65.00
Extension fee (if any) \$55.00

TOTAL FEE DUE**\$120.00**

VIII.

- ☐ enclosed is a check in the amount of \$ _____
☒ charge Account No. 12-2150 in the amount of \$ 120.00

A duplicate of this transmittal is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

IX.

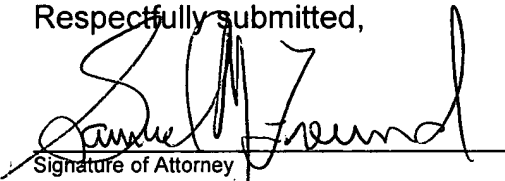
- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 12-2150.
- ☒ 37 CFR 1.16(a), (f), or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c), or (d) (presentation of extra claims)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

X. As to any overpayment made please

- ☒ Credit to Account No. 12-2150.
- ☐ Send refund check.

Date: October 11, 2001

Respectfully submitted,



Signature of Attorney

Reg. No. 30,459
Phone (505) 667-9701

Samuel M. Freund
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